

ILLINOIS DEPARTMENT OF PUBLIC HEALTH
 DIVISION OF HEALTH FACILITIES STANDARDS
 STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION

ASTC HHA HMO HOSPICE HOSPITAL

NAME AND ADDRESS OF FACILITY LIST RULE VIOLATED	ENTER SUMMARY OF REQUIREMENT AND SPECIFICALLY WHAT IS WRONG	PROVIDER'S PLAN OF CORRECTION AND DATE TO BE COMPLETED	COMPLETION DATE
205.610 h)	<p>Clinical Records</p> <p>Accurate and complete records shall be maintained for each patient and all entries in the clinical record shall be made at the time the surgical procedure is performed and when care, treatment, medications, or other medical services are given. The record shall include the following : anesthesia record.</p> <p>This requirement is not met as evidenced by:</p> <p>Based on document review and staff interview, it was determined for 4 of 6 patients (PL #1, # 2, #3 and #4) who underwent a surgical procedure on 12/02/11, the facility failed to ensure a pre-anesthesia evaluation was conducted prior to administration of anesthesia.</p> <p>Findings include:</p>	<p>Regarding items #2, #3 and #4</p> <p>The same anesthesiologist provided anesthesia to all three patients (patient #1, #2 and #3). The provider has been questioned about the discrepancies of the documentation of the pre-anesthetic evaluation and the anesthesia induction time. This provider has not worked for us for one year and could not recall what occurred two years ago. We can only speculate that one or a combination of the following occurred.</p>	12/11/2013

DATE OF SURVEY _____ BY _____ (Surveyor) _____ (Provider's Representative) **7(1)(b)**

NOTE: IF PLV, INDICATE DATE OF PRIOR SURVEY _____

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205.610 h) Cont.	<p>Clinical Records</p> <p>1. On 10/03/13 at approximately 2:00PM facility policy titled, "Anesthesia Rules and Regulations" approval date 4/26/10 was reviewed. The policy required, "A review of the patient's condition made prior to induction of anesthesia."</p> <p>2. The clinical record for Pl. #1 was reviewed on 10/03/13 at approximately 11:00AM. Pl. #1, a 40 year old female, underwent a surgical procedure on 12/02/11. The pre-anesthesia evaluation at 11:50AM was documented after administration of anesthesia at 10:58AM.</p>	<p>1. The "Pre-Anesthetic Evaluation" is on the same form and directly above the Post-Operative Anesthetic Evaluation. Perhaps the provider was confused and did not understand the flow of the form.</p> <p>2. The provider wrote down the wrong time. Perhaps the watch/clock the provider used for the pre-anesthetic evaluation was one hour ahead which would explain the discrepancy.</p>	

7(1)(b)

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205.610 h) Cont.	Clinical records 3. The clinical record for Pt. #2 was reviewed on 10/03/13 at approximately 1:00 PM. Pt. #2, a 29 year old female, underwent a surgical procedure on 12/02/11. The pre-anesthesia evaluation at 12:15PM was documented after administration of anesthesia at 12:03PM. 4. The clinical record for Pt. #3 was reviewed on 10/03/13 at approximately 2:00 PM. Pt. #3, a 40 year old female, underwent a surgical procedure on 12/02/11. The pre-anesthesia evaluation and induction of anesthesia were both timed at 12:23PM.	3. Carelessness in documentation An audit of random charts from 2012-2013 reviewing all other anesthesia providers demonstrated that the providers understand the anesthesia forms and are properly documenting their anesthesia timing. A memo has been sent out to the anesthesia staff reminding them of how to properly document all anesthetic evaluation timings. (See enclosed)	

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205.610 h) Cont.	<p>Clinical Records</p> <p>5. The clinical record for Pt. #4 was reviewed on 10/03/13 at approximately 3:00 PM. Pt. #4, a 34 year old female, underwent a surgical procedure on 12/02/11. The pre-anesthesia evaluation at 12:40PM was documented after administration of anesthesia at 1:15PM.</p> <p>6. The above findings were verified with the Chief of Operations on 10/03/13 at approximately 3:00PM.</p>	<p>Medical Records Clerk will audit the anesthesia evaluation timing for proper sequential flow during Quarterly Medical Records Review. Any discrepancies will be reported to the Consulting Committee.</p> <p>The Nursing Supervisor will be responsible for monitoring compliance.</p> <p>Note: Deficiency Item #5 does not make sense as written and we do not see a discrepancy here. Perhaps there is a "typo" and the times are not correct? Unable to identify which chart</p>	

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if the discrepancy exists, the response is the same as written for #2, #3 and #4.

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205.610 m)	<p>Accurate and complete records shall be maintained for each patient and all entries in the clinical record shall be made at the time the surgical procedure is performed and when care, treatment, medications, or other medical services are given. The record shall include the following : condition at time of discharge.</p> <p>This requirement is not met as evidenced by:</p> <p>Based on document review and staff interview, it was determined for 1 of 6 patients (Pt. #1) who underwent a surgical procedure on 12/02/11, the facility failed to ensure the condition at the time of discharge was documented.</p>		

7(1)(b)

(Provider's Representative)

(Surveyor)

BY _____

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NAME AND ADDRESS Advantage Health Care 203 E Irving Park Road Wood Dale Illinois 60191

LIST RULE VIOLATED	ENTER SUMMARY OF REQUIREMENT AND SPECIFICALLY WHAT IS WRONG	PROVIDER'S PLAN OF CORRECTION AND DATE TO BE COMPLETED	COMPLETION DATE
205.610 (m)	Clinical Records Findings include: 1. Policy titled, "Duties of the Post-Operative Nurse" approved 4/06/10, required, "The patient is re-evaluated prior to discharge." 2. The clinical record for Pt. #1 was reviewed on 10/03/13 at approximately 11:00AM. Pt. #1, a 40 year old female, underwent a surgical procedure on 12/02/11. The nursing post operative discharge note on 12/02/11 at 12:00PM did not include Pt #1's condition at the time of discharge. 3. The above finding was verified with the Chief of Operations on 10/03/13 at approximately 3:00PM.	205.610m Item #2 and 205.610n Item #2 An interview was conducted with the Recovery Room staff; however it was difficult for anyone to remember the exact events from two years ago. The staff agreed that it was highly unusual for someone to forget to document the patients' condition at discharge as well as to document giving the patient discharge instructions.	12/11/2013
cont.			

BY 07105 (Surveyor) 7(1)(b)
 (Provider's Representative)

DATE OF SURVEY 10/4/13
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NAME AND ADDRESS Advantage Health care 203 E. Irving Park Road Wood Dale Illinois 60191

OF FACILITY LIST RULE VIOLATED	ENTER SUMMARY OF REQUIREMENT AND SPECIFICALLY WHAT IS WRONG	PROVIDER'S PLAN OF CORRECTION AND DATE TO BE COMPLETED	COMPLETION DATE
205.610 (n)	<p>Clinical Records</p> <p>Accurate and complete records shall be maintained for each patient and all entries in the clinical record shall be made at the time the surgical procedure is performed and when care, treatment, medications, or other medical services are given. The record shall include the following : patient instructions.</p> <p>This requirement is not met as evidenced by:</p> <p>Based on document review and staff interview, it was determined for 1 of 6 patients (Pt. #1) who underwent a surgical procedure on 12/02/11, the facility failed to ensure discharge instructions were given to the patient.</p>	<p>An audit of all other patient charts from that day and a random sampling of other days demonstrated that the Recovery Room staff was performing complete and proper documentation in the Discharge Notes and no trend in incomplete documentation was found.</p>	

DATE OF SURVEY 10/04/13 BY 07105 (Surveyor) _____
 (Provider's Representative) **7(1)(b)**

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205.610 (n)	<p>Clinical Records</p> <p>Findings include:</p> <p>1. Policy titled, "Duties of the Post-Operative Nurse" approved 4/06/10 was reviewed on 10/03/13 at approximately 11:00AM. The policy required, "Post operative instruction sheets will be given..."</p> <p>2. The clinical record for Pt. #1 was reviewed on 10/03/13 at approximately 11:00AM. Pt. #1, a 40 year old female, underwent a surgical procedure on 12/02/11. The nursing post operative discharge note dated 12/02/11 at 12:00PM did not include discharge instructions were given to the patient.</p>	<p>Upon further investigation, we believe that the patient left the Recovery Room after ambulating/changing in the restroom. She had a very distraught husband that was causing a commotion in the waiting room and we believe she was in a hurry to leave. At a later date, her husband had complained that his wife "left the Recovery Room in her booties" and that he had to help her put her shoes on in the waiting room which we could not explain because patients leave the Recovery Room fully dressed. This is why we believe that the two discharge note items were missed.</p>	
cont.			

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205.610 (n) cont	Clinical. 3. The above finding was verified with the Chief of Operations on 10/03/13 at approximately 3:00PM.	A memo has been issued to the Recovery Room staff reminding them to complete all documentation in the Discharge Notes. The Nursing Supervisor will review all charts for completeness prior to discharge of the patient.	

DATE OF SURVEY 10/4/13 BY 07105 (Surveyor) _____ (Provider's Representative) **7(1)(b)**
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